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SEP 0 7 2004

Docket No.: 480821.90116

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Date of Signature

and Deposit: September 7, 2004

Richard T. Roche, Reg. No. 38,599

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Andrew J. Turberfield et al.

Application No.:

10/088,403

Filing date:

11/11/2002

Title:

**Photonic Crystal Materials** 

Art Unit:

1752

Examiner:

Thorl Chea

## **AMENDMENT AND RESPONSE**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is in response to the Office Action mailed on May 5, 2004. The three month deadline for response was August 5, 2004. Applicants attach a request for a one-month extension of time to extend the deadline to September 7, 2004 as September 5 was a Sunday and September 6 was the Federal Labor Day holiday.

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 6 of this paper.

CIMAL RAIGEMEN



411 East Wisconsin Avenue Milwaukee, Wisconsin 53202 414/277-5000

414/271-3552 414/277-5591

Milwaukee and Madison, Wisconsin P 0 7 2004 Naples and Boca Raton, Florida Phoenix and Tucson, Arizona Chicago, Illinois (Quarles & Brady LLC)

## **FAX TRANSMITTAL COVER SHEET**

To:

**Examiner Thorl Chea** 

FAX:

17038729306

Phone:

Richard Roche

From: Phone:

FAX:

414-978-8805

Number of Pages (including cover sheet): 17

Date & Time Faxed: Tuesday, September 07, 2004 5:15:22 PM

Message:

Attached is an amendment for:

Applicants:

Andrew J. Turberfield et al.

Application No.: 10/088,403

Filing date: Title:

11/11/2002 Photonic Crystal Materials

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Thorl Chea

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PTO/SB/17 (10-03)

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Index the Panerwork Reduction Act of 1995, no persons are required to	respond to a collection of information unless it displays a valid OMB control number
THOUGH THE THE THE TOTAL THE	

## Complete if Known **FEE TRANSMITTAL** 10/088.403 **Application Number** 11/11/2002 Filing Date for FY 2004 Andrew J. Turberfield et al. First Named Inventor Effective 10/01/2003. Patent fees are subject to annual revision Thorl Chea **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 1752 Art Unit 480821.90116 TOTAL AMOUNT OF PAYMENT Attorney Docket No. FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) 3. ADDITIONAL FEES Check Credit card Money Other C Large Entity | Small Entity ✔ Deposit Account: Fee (\$) Fee Code Fee Description Fee Code Fee Paid Deposit 17-0055 1051 130 2051 65 Surcharge - late filing fee or oath Number 25 Surcharge - late provisional filing fee or cover sheet Deposit 50 2052 Quarles & Brady LLP Account Name 130 Non-English specification 1053 130 1053 The Director is authorized to: (check all that apply) 1812 2,520 For filing a request for ex parte reexamination 1812 2,520 920\* Requesting publication of SIR prior to Examiner action 1804 1804 920 Charge any additional fee(s) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filling fee Requesting publication of SIR after Examiner action 1805 1,8401 1805 1,840\* to the above-identified deposit account. 110 2251 55 Extension for reply within first month 1251 110 **FEE CALCULATION** 210 Extension for reply within second month 2252 1252 420 1. BASIC FILING FEE

Large E	ntity	Small E	ntitu	,			1253	ສວບ	ZZ33	410	Extension for reply within third month	
Fee F	ee	Fee	Fee		ee Description	Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	
Code (		Code					1255	2,010	2255	1 005	Extension for reply within fifth month	
1001 7	770	2001	385		Utility filing fee					-		
1002 3	340	2002	170		Design filing fee		1401	330	2401	165	Notice of Appeal	
1003 5	530	2003	265		Plant filing fee		1402	330	2402	165	Filing a brief in support of an appeal	
1004 7	770	2004	385		Reissue filing fee		1403	290	2403	145	Request for oral hearing	
1005 1	160	2005	80		Provisional filing fe	e	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
				SI	JBTOTAL (1)	0.00	1452	110	2452	55	Petition to revive - unavoidable	
							1453	1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE								1,330	2501	665	Utility issue fee (or reissue)	
Total Claims								480	2502	240	Design issue fee	
								640	2503	320	Plant issue fee	
								130	1460	130	Petitions to the Commissioner	
Multiple	e Depe	endent					1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
		Sma					1806	180	1806	180	Submission of Information Disclosure Strnt	
Fee Code	(\$)	Cod	Fe de ( <b>\$</b>	<b>i</b> }	Fee Descriptio		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202	18	22	202	9	Claims in excess	of 20	1809	770	2809	385	Filling a submission after final rejection	
1201	86	27	201	43	Independent claim	s in excess of 3	3 -				(37 ČFR 1.129(a))	
1203	290	22	203	145	Multiple depender	nt claim, if not paid	1810	770	2810	385	For each additional invention to be	
1204	86	22	204	43	** Reissue indepe over original pat		1801	770	2801	385	examined (37 CFR 1.129(b))  Request for Continued Examination (RCE)	1
1205	18	22	205	9	** Reissue claims and over origina		1802	900	1802	900	Request for expedited examination of a design application	

(\$) 110.00 \*\*or number previously paid, if greater; For Reissues, see above (Complete (if applicable)) SUBMITTED BY Registration No. Richard T. Roche 38,599 Telephone 414-277-5805 Name (Print/Type) 9-7-04 7 Pette Signature

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

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